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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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\*\*\*SENIOR-COUNSEL

October 24, 2001

**WRITER'S DIRECT NUMBER:**  
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**INTERNET ADDRESS:**  
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Commissioner for Patents  
Washington, D.C. 20231

**Box: Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/844,441; Filed: April 30, 2001  
For: **High-Speed Serial Data Transceiver and Related Methods**  
Inventors: BUCHWALD *et al.*  
Our Ref: 1875.0560004

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated **July 26, 2001**, Applicant submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1) (*in duplicate*);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. An original executed Power of Attorney from Assignee;
6. Certificate Under 37 C.F.R. § 3.73(b) with a copy of the Assignment attached;
7. One (1) return postcard; and

Commissioner for Patents

October 24, 2001

Page 2

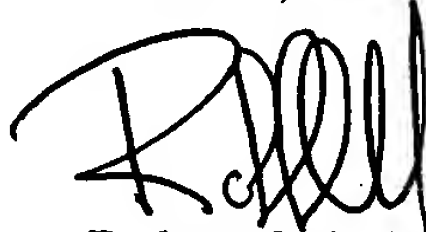
8. Our Check No. 32960 for \$1,364.00 to cover:  
\$740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);  
\$384.00 Extra claims fee (37 C.F.R. 1.16);  
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and  
\$110.00 One (1) month extension of time fees (37 C.F.R. § 1.136).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.




Robert Sokohl

Attorney for Applicants

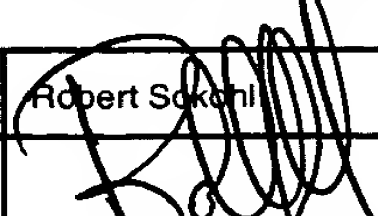
Registration No. 36,013

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                          |   |
|--|--------------------------|---|
|  <b>FEE TRANSMITTAL<br/>for FY 2002</b><br><small>Patent fees are subject to annual revision.</small> | <b>Complete if Known</b> |   |
|  | Application Number       | 09/844,441                              |
|  | Filing Date              | April 30, 2001                          |
|  | First Named Inventor     | Aaron W. BUCHWALD                       |
|  | Examiner Name            | To Be Assigned                          |
|  | Group Art Unit           | 2812                                    |
| <b>TOTAL AMOUNT OF PAYMENT</b>   |                          | <b>Attorney Docket No.</b> 1875.0560004 |

| METHOD OF PAYMENT (check one)  |                 | FEE CALCULATION (continued)                            |                 |   |          |
|--|-----------------|--|-----------------|---|----------|
| <b>1.</b> <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:<br><br>Deposit Account Number: 19-0036<br>Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.<br><br><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17<br><br><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 |                 | <b>3. ADDITIONAL FEES</b><br>Large Entity Small Entity |                 |   |          |
| <b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed:<br><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*<br><small>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</small>                                |                 |  |                 |   |          |
| <b>FEE CALCULATION</b>   |                 |  |                 |   |          |
| <b>1. BASIC FILING FEE</b>   |                 |  |                 |   |          |
| Large Fee Code   | Entity Fee (\$) | Small Fee Code   | Entity Fee (\$) | Fee Description   | Fee Paid |
| 101  | 740             | 201  | 370             | Utility filing fee  | 740.00   |
| 106  | 330             | 206  | 165             | Design filing fee   |          |
| 107  | 510             | 207  | 255             | Plant filing fee  |          |
| 108  | 740             | 208  | 370             | Reissue filing fee  |          |
| 114  | 160             | 214  | 80              | Provisional filing fee                                    |          |
| <b>SUBTOTAL (1) (\$)</b>   |                 |  |                 |   | 740.00   |
| <b>2. EXTRA CLAIM FEES</b>   |                 |  |                 |   |          |
| Total Claims 32 - 20** = 12  |                 | Extra Fee from below 18.00                             |                 | Fee Paid 216.00   |          |
| Indep. Claims 5 - 3** = 2  |                 | Extra Fee from below 84.00                             |                 | Fee Paid 168.00   |          |
| Multiple Dependent   |                 |  |                 |   |          |
| Large Fee Code   | Entity Fee (\$) | Small Fee Code   | Entity Fee (\$) | Fee Description   | Fee Paid |
| 103  | 18              | 203  | 9               | Claims in excess of 20                                    |          |
| 102  | 84              | 202  | 42              | Independent claims in excess of 3                         |          |
| 104  | 280             | 204  | 140             | Multiple dependent claim                                  |          |
| 108  | 84              | 209  | 42              | **Reissue independent claims over original patent         |          |
| 110  | 18              | 210  | 9               | **Reissue claims in excess of 20 and over original patent |          |
| <b>SUBTOTAL (2) (\$)</b>   |                 |  |                 |   | 384.00   |
|  |                 |  |                 | Other fee (specify):                                      |          |
|  |                 |  |                 | Other fee (specify):                                      |          |
|  |                 |  |                 | *Reduced by Basic Filing Fee Paid                         |          |
|  |                 |  |                 | <b>SUBTOTAL (3) (\$)</b> 240.00                           |          |

|                     |   |                                   |                  |           |              |
|---------------------|---|-----------------------------------|------------------|-----------|--------------|
| <b>SUBMITTED BY</b> |   | <b>Complete (if applicable)</b>   |                  |           |              |
| Name (Print/Type)   | Robert Sokol  | Registration No. (Attorney/Agent) | 36,013           | Telephone | 202-371-2600 |
| Signature           |  | Date                              | October 24, 2001 |           |              |



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/844,441         | 04/30/2001          | Aaron W. Buchwald     | 1875.0560004           |

CONFIRMATION NO. 9086

26111

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## FORMALITIES LETTER



\*OC000000006347110\*

Date Mailed: 07/26/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$376.
  - \$216 for 12 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1216.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

10/25/2001 BABRAHA1 00000037 09844441

PART 2 - COPY TO BE RETURNED WITH RESPONSE

|           |           |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:103 | 216.00 OP |
| 03 FC:102 | 168.00 OP |
| 04 FC:105 | 130.00 OP |